# Semiorthogonal decomposition via categorical representation theory

#### You-Hung Hsu

Joint work with Yu Zhao (IPMU) in progress

#### NCTS

January 18, 2022



#### 2 Main result

3 Related results and current work





3 Related results and current work

#### Remark

In this talk, we work over the field  ${\ensuremath{\mathbb C}}$  of complex numbers.





3 Related results and current work

# Representation of $\mathfrak{sl}_2$

$$\mathfrak{sl}_2(\mathbb{C}) = \{ A \in \operatorname{End}(\mathbb{C}^2) \mid \operatorname{Tr}(A) = 0 \}$$

with the following commutator relations

$$[h,e] = 2e, \ [h,f] = -2f, \ [e,f] = h.$$

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$$[h,e]=2e,\ [h,f]=-2f,\ [e,f]=h.$$

A representation of  $\mathfrak{sl}_2(\mathbb{C})$  consists of the following data

• A collection of vector spaces (weight spaces)  $V_\lambda$ ,  $\lambda\in\mathbb{Z}$ 

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$$(ef - fe)|_{V_{\lambda}} = \lambda Id_{V_{\lambda}}$$

The above data of the representation can be characterized in the following picture

$$\cdots \xrightarrow{e}_{f} V_{\lambda-2} \xrightarrow{e}_{f} V_{\lambda} \xrightarrow{e}_{f} V_{\lambda+2} \xrightarrow{e}_{f} \cdots$$

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We can consider a more general case, which is the representation of the quantum group  $\mathcal{U}_q(\mathfrak{sl}_2)$ . The third data is replaced by  $(ef - fe)|_{V_\lambda} = [\lambda]_q I d_{V_\lambda}$ , where  $[\lambda]_q := q^{\lambda - 1} + q^{\lambda - 3} + \ldots + q^{-\lambda + 1}$  is the quantum integer.

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- Geometry is a good resource for producing categories.
- It can be decategorified to recover the original vector space.



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Consider the Grassmannian of k-dimensional subspaces in  $\mathbb{C}^N$ 

$$\mathbb{G}(k,N) = \{ 0 \subset V \subset \mathbb{C}^N \mid \dim V = k \}$$

Let  $\mathcal{D}^bCon(\mathbb{G}(k, N))$  to be the bounded derived categories of constructible sheaves on  $\mathbb{G}(k, N)$ . These will be our weight categories  $\mathcal{K}(\lambda) = \mathcal{D}^bCon(\mathbb{G}(k, N))$ , where  $\lambda = N - 2k$ .

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$$\mathsf{E} := p_{2*}p_1^* : \mathcal{D}^bCon(\mathbb{G}(k,N)) = \mathcal{K}(\lambda) \to \mathcal{D}^bCon(\mathbb{G}(k-1,N)) = \mathcal{K}(\lambda+2)$$
  
$$\mathsf{F} := p_{1*}p_2^* : \mathcal{D}^bCon(\mathbb{G}(k-1,N)) = \mathcal{K}(\lambda+2) \to \mathcal{D}^bCon(\mathbb{G}(k,N)) = \mathcal{K}(\lambda)$$

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Theorem 1 (Beilinson-Lusztig-MacPherson, Chuang-Rouquier)

The categories and functors defined above gives a categorical  $\mathfrak{sl}_2$  action. This means that the functors defined above satisfy

$$\mathsf{EF}|_{\mathcal{K}(\lambda)} \cong \mathsf{FE}|_{\mathcal{K}(\lambda)} \bigoplus \mathsf{Id}_{\mathcal{K}(\lambda)}^{\oplus \lambda} ext{ if } \lambda \geq 0$$

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3 Related results and current work

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Thus our weight categories  $\mathcal{K}(\lambda)$  are the bounded derived categories of coherent sheaves on  $\mathbb{G}(k, N)$ , which is denoted by  $\mathcal{D}^bCoh(\mathbb{G}(k, N))$ , where  $\lambda = N - 2k$ .

### Our functors





Denoting  $\mathcal{V}, \mathcal{V}'$  to be the tautological bundles on Fl(k-1,k) of rank k, k-1 respectively, then there is a natural line bundle  $\mathcal{V}/\mathcal{V}'$  on Fl(k-1,k).



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$$\mathsf{E}_r := p_{2*}(p_1^* \otimes (\mathcal{V}/\mathcal{V}')^r) : \mathcal{D}^bCoh(\mathbb{G}(k,N)) \to \mathcal{D}^bCoh(\mathbb{G}(k-1,N))$$
$$\mathsf{F}_r := p_{1*}(p_2^* \otimes (\mathcal{V}/\mathcal{V}')^r) : \mathcal{D}^bCoh(\mathbb{G}(k-1,N)) \to \mathcal{D}^bCoh(\mathbb{G}(k,N))$$

where  $r \in \mathbb{Z}$ .
We want to understand how this  $L\mathfrak{sl}_2 := \mathfrak{sl}_2 \otimes \mathbb{C}[t, t^{-1}]$ -like algebra acting on  $\bigoplus_k \mathcal{D}^b Coh(\mathbb{G}(k, N))$ , where  $e \otimes t^r$  and  $f \otimes t^s$  acting via the functors  $\mathsf{E}_r$  and  $\mathsf{F}_s$  respectively for  $r, s \in \mathbb{Z}$ .

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We can ask several natural questions, for example,

What are the categorical commutator relations between E<sub>r</sub>F<sub>s</sub> and F<sub>s</sub>E<sub>r</sub>?

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We can ask several natural questions, for example,

- What are the categorical commutator relations between  $E_r F_s$  and  $F_s E_r$ ?
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- What are the categorical commutator relations between  $E_r F_s$  and  $F_s E_r$ ?
- What is the algebra that we obtain after decategorifying?
- If we define the algebra, can we give a definition of its categorical action like \$\varsigmal{sl}\_2\$ in the introduction?

Theorem 2 (Hsu)

(1)The resulting algebra acting on  $\bigoplus_k \mathcal{D}^b Coh(\mathbb{G}(k, N))$  is a new algebra, which we call it the shifted q = 0 affine algebra. Denoted by  $\dot{\mathcal{U}}_{0,N}(L\mathfrak{sl}_2)$ .

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### Remark

More generally, we constructed a categorical  $U_{0,N}(L\mathfrak{sl}_n)$  action on the derived categories of coherent sheaves on *n*-step partial flag varieties.

# Tool: Fourier-Mukai (FM) transforms

We use the tool of Fourier-Mukai (FM) transform to help us.

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#### Definition 3

Let X, Y be two smooth projective varieties. A Fourier-Mukai (FM) kernel is any object  $\mathcal{P} \in \mathcal{D}^bCoh(X \times Y)$ . For such  $\mathcal{P}$  we define the associated Fourier-Mukai (FM) transform, which is the functor

$$\Phi_{\mathcal{P}}: \mathcal{D}^bCoh(X) \to \mathcal{D}^bCoh(Y)$$

$$\mathcal{F} \mapsto \pi_{2*}(\pi_1^*(\mathcal{F}) \otimes \mathcal{P})$$

where  $\pi_1$ ,  $\pi_2$  are natural projections.

Then the functor  $E_r : \mathcal{D}^bCoh(\mathbb{G}(k,N)) \to \mathcal{D}^bCoh(\mathbb{G}(k-1,N))$ isomorphic to a FM transform with the kernel

$$\mathcal{E}_r \mathbf{1}_{(k,N-k)} := \iota_* (\mathcal{V}/\mathcal{V}')^r \in \mathcal{D}^b Coh(\mathbb{G}(k,N) \times \mathbb{G}(k-1,N))$$

where  $\iota: Fl(k-1,k) \to \mathbb{G}(k,N) \times \mathbb{G}(k-1,N)$  is the natural inclusion, i.e.,  $\mathsf{E}_r \cong \Phi_{\mathcal{E}_r \mathbf{1}_{(k,N-k)}}$ .

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$$\mathcal{F}_{s}\mathbf{1}_{(k,N-k)} \in \mathcal{D}^{b}Coh(\mathbb{G}(k,N) \times \mathbb{G}(k+1,N))$$

to be the FM kernel for  $F_s$ , i.e.,  $F_s \cong \Phi_{\mathcal{F}_r \mathbf{1}_{(k,N-k)}}$ .

Then  $(\mathcal{E}_r * \mathcal{F}_s)\mathbf{1}_{(k,N-k)}$ ,  $(\mathcal{F}_s * \mathcal{E}_r)\mathbf{1}_{(k,N-k)} \in \mathcal{D}^bCoh(\mathbb{G}(k,N) \times \mathbb{G}(k,N))$ are FM kernels for the functors  $\mathsf{E}_r \circ \mathsf{F}_s$ ,  $\mathsf{F}_s \circ \mathsf{E}_r$ , respectively. Then  $(\mathcal{E}_r * \mathcal{F}_s)\mathbf{1}_{(k,N-k)}$ ,  $(\mathcal{F}_s * \mathcal{E}_r)\mathbf{1}_{(k,N-k)} \in \mathcal{D}^bCoh(\mathbb{G}(k,N) \times \mathbb{G}(k,N))$ are FM kernels for the functors  $\mathsf{E}_r \circ \mathsf{F}_s$ ,  $\mathsf{F}_s \circ \mathsf{E}_r$ , respectively.

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Definition 4

An object  $E \in \mathsf{Ob}(\mathcal{D})$  is called exceptional if

$$\operatorname{Hom}_{\mathcal{D}}(E, E[l]) = \begin{cases} \mathbb{C} & \text{ if } l = 0\\ 0 & \text{ if } l \neq 0. \end{cases}$$

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Then we define the notion of exceptional collections.

### Definition 5

An ordered collection  $\{E_1, ..., E_n\}$ , where  $E_i \in Ob(\mathcal{D})$  for all  $1 \le i \le n$ , is called an exceptional collection if each  $E_i$  is exceptional and moreover  $Hom_{\mathcal{D}}(E_i, E_j[l]) = 0$  for all i > j and all  $l \in \mathbb{Z}$ .

| category theory | linear algebra                                     |
|-----------------|--|
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| $\{E_1,, E_n\}$                         | $\gamma(E_i, E_i) = \begin{cases} 1 & \text{if } i = j \end{cases}$                              |
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#### Remark

The word "semi" comes from the fact that the Euler form  $\chi$  is NON-symmetric.

Then we define the notion of semiorthogonal decompositions, which can be thought of as a generalization of exceptional collections. Then we define the notion of semiorthogonal decompositions, which can be thought of as a generalization of exceptional collections.

### Definition 6

A semiorthogonal decomposition (SOD for short) of  $\mathcal{D}$  is a sequence of full triangulated subcategories  $\mathcal{A}_1, ..., \mathcal{A}_n$  such that

- there is no non-zero Homs from right to left, i.e.  $\operatorname{Hom}_{\mathcal{D}}(A_i, A_j) = 0$ for all  $A_i \in \operatorname{Ob}(\mathcal{A}_i)$ ,  $A_j \in \operatorname{Ob}(\mathcal{A}_j)$  where  $1 \leq j < i \leq n$ .
- ② D is generated by A<sub>1</sub>, ..., A<sub>n</sub>, i.e. the smallest full triangulated subcategory containing A<sub>1</sub>, ..., A<sub>n</sub> equal to D.

We will use the notation  $\mathcal{D} = \langle \mathcal{A}_1, ..., \mathcal{A}_n \rangle$  for a semiorthogonal decomposition of  $\mathcal{D}$  with components  $\mathcal{A}_1, ..., \mathcal{A}_n$ .

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$$\mathcal{D} = \langle \mathcal{A}, E_1, ..., E_n \rangle.$$

where  $\mathcal{A} = \langle E_1, ..., E_n \rangle^{\perp}$  and  $E_i$  denote the full triangulated subcategory generated by the object  $E_i$ .

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### Remark

For a full triangulated subcategory  $\mathcal{C} \subset \mathcal{D}$ , we define  $\mathcal{C}^{\perp} = \{X \in \mathsf{Ob}(\mathcal{D}) \mid \operatorname{Hom}_{\mathcal{D}}(C, X) = 0 \ \forall \ C \in \mathsf{Ob}(\mathcal{C})\}$  to be the right orthogonal to  $\mathcal{C}$  in  $\mathcal{D}$ . It is a triangulated subcategories of  $\mathcal{D}$ .

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where  $\mathcal{A} = \langle E_1, ..., E_n \rangle^{\perp}$  and  $E_i$  denote the full triangulated subcategory generated by the object  $E_i$ .

### Remark

For a full triangulated subcategory  $\mathcal{C} \subset \mathcal{D}$ , we define  $\mathcal{C}^{\perp} = \{X \in \mathsf{Ob}(\mathcal{D}) \mid \operatorname{Hom}_{\mathcal{D}}(C, X) = 0 \ \forall \ C \in \mathsf{Ob}(\mathcal{C})\}$  to be the right orthogonal to  $\mathcal{C}$  in  $\mathcal{D}$ . It is a triangulated subcategories of  $\mathcal{D}$ .

#### Remark

An exceptional collection is called full if the subcategory  $\mathcal A$  is zero.

The simplest example is given by Beilinson for projective space  $\mathbb{P}^{N-1}=\mathbb{G}(1,N).$ 

### Theorem 7 (Beilinson)

There is a full exceptional collection (thus a SOD)

$$\mathcal{D}^{b}Coh(\mathbb{P}^{N-1}) = \langle \mathcal{O}_{\mathbb{P}^{N-1}}(-N+1), \mathcal{O}_{\mathbb{P}^{N-1}}(-N+2), ..., \mathcal{O}_{\mathbb{P}^{N-1}} \rangle.$$

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#### Theorem 8 (M. Kapranov)

There is a full exceptional collection (thus a SOD)

$$\mathcal{D}^bCoh(\mathbb{G}(k,N)) = \langle \mathbb{S}_{\lambda}\mathcal{V} \rangle_{\lambda \in P(N-k,k)}.$$

You-Hung Hsu (NCTS)

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Since we construct an action of  $\dot{\mathcal{U}}_{0,N}(L\mathfrak{sl}_2)$  on  $\bigoplus_k \mathcal{D}^bCoh(\mathbb{G}(k,N))$  via using FM kernels, we try to relate the Kapranov exceptional collection to this action.

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More precisely, by using the Borel-Weil-Bott theorem we get

$$\mathbb{S}_{\lambda}\mathcal{V}\cong\mathcal{F}_{\lambda_{1}}*...*\mathcal{F}_{\lambda_{k}}\mathbf{1}_{(0,N)}$$

where  $\lambda = (\lambda_1, ..., \lambda_k) \in P(N - k, k)$ . Note that  $\mathcal{F}_{\lambda_1} * ... * \mathcal{F}_{\lambda_k} \mathbf{1}_{(0,N)}$  is the FM kernel for the functor  $\mathsf{F}_{\lambda} \mathbf{1}_{(0,N)} := \mathsf{F}_{\lambda_1} ... \mathsf{F}_{\lambda_k} \mathbf{1}_{(0,N)}$ .

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Question: Given an (abstract) categorical  $\dot{\mathcal{U}}_{0,N}(L\mathfrak{sl}_2)$  action on  $\mathcal{K}$ . Do the collection of functors

$$\{\mathsf{F}_{\lambda}\mathbf{1}_{(0,N)}: \mathcal{K}(0,N) \to \mathcal{K}(k,N-k)\}_{\lambda \in P(N-k,k)}$$

behave like an exceptional collection?

## Proposition 9 (Hsu)

The functors  ${\mathsf{F}_{\lambda} \mathbf{1}_{(0,N)}}_{\lambda \in P(N-k,k)}$  satisfy the following properties

(1) Hom( $\mathsf{F}_{\lambda}\mathbf{1}_{(0,N)}, \mathsf{F}_{\lambda}\mathbf{1}_{(0,N)}$ ) \cong Hom( $\mathbf{1}_{(0,N)}, \mathbf{1}_{(0,N)}$ ) (exceptional-like) (2) Hom( $\mathsf{F}_{\lambda}\mathbf{1}_{(0,N)}, \mathsf{F}_{\lambda'}\mathbf{1}_{(0,N)}$ )  $\cong 0$ , if  $\lambda <_l \lambda'$  (semiorthogonal property)

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#### Remark

When the weight categories are  $\mathcal{K}(k, N-k) = \mathcal{D}^b Coh(\mathbb{G}(k, N))$ , we have  $\operatorname{Hom}(\mathbf{1}_{(0,N)}, \mathbf{1}_{(0,N)}) \cong \mathbb{C}$ . This recovers the exceptional collection  $\{\mathbb{S}_{\lambda}\mathcal{V}\}$ .

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#### Remark

The first property (1) also implies that the functors  $F_{\lambda}\mathbf{1}_{(0,N)}: \mathcal{K}(0,N) \to \mathcal{K}(k,N-k)$  are fully faithful for  $\lambda \in P(N-k,k)$ .

# SOD of weight categories

Since  $F_{\lambda}\mathbf{1}_{(0,N)} : \mathcal{K}(0,N) \to \mathcal{K}(k,N-k)$  is fully faithful for  $\lambda \in P(N-k,k)$ , it gives an equivalence from  $\mathcal{K}(0,N)$  to the subcategory of  $\mathcal{K}(k,N-k)$  generated by its essential images. By abusing of notation, we still denote it by  $\mathcal{K}(0,N)$ .

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#### Theorem 10 (Hsu)

Given a categorical  $\dot{\mathcal{U}}_{0,N}(L\mathfrak{sl}_2)$  action  $\mathcal{K}$ . There is a SOD

$$\mathcal{K}(k, N-k) = \langle \mathcal{A}(k, N-k), \binom{N}{k} - copies \ of \ \mathcal{K}(0, N) \rangle$$

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#### Remark

In fact, we prove the above theorem for the  $\mathfrak{sl}_n$  case.







#### From the above result, we can ask the following two questions.

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Let X be a smooth projective variety,  $\mathcal{G}$  a coherent sheaf on X with homological dimension  $\leq 1$ . This implies that  $\mathcal{G}$  admits a resolution  $\mathcal{E}^{-1} \to \mathcal{E}^0 \to \mathcal{G}$  with  $\mathcal{E}^0$ ,  $\mathcal{E}^{-1}$  locally free.

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$$\mathcal{D}^bCoh(\mathbb{P}(\mathcal{G})) = \langle \mathcal{D}^bCoh(\mathbb{P}(\mathcal{H}), \mathcal{D}^bCoh(X)(1), ..., \mathcal{D}^bCoh(X)(r)\rangle$$

where  $\mathcal{H} \coloneqq \mathcal{E}xt^1(\mathcal{G}, \mathcal{O}_X)$  and  $r \coloneqq \mathsf{rk}\mathcal{E}^0 - \mathsf{rk}\mathcal{E}^{-1}$  is the rank for  $\mathcal{G}$ .

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When  ${\mathcal G}$  is locally free, this result recover the projective bundle formula by Orlov.

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#### Remark

When G is locally free, this result recover the projective bundle formula by Orlov. Moreover, Jiang-Leung prove the above result for X to be a regular scheme.

Let X and G be the same as in Theorem 11. Then there is a SOD for  $\mathcal{D}^bCoh(Gr(\mathcal{G},d)))$  which extends the result by Jiang-Leung, where  $Gr(\mathcal{G},d)$  is the Grassmannian parametrizes rank d locally free quotient of  $\mathcal{G}$ .

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#### Remark

If fact,  $\mathbb{P}(\mathcal{E}xt^1(\mathcal{G}, \mathcal{O}_X))$  is a Springer-type resolution of the singular locus  $Sing(\mathcal{G}) := \{x \in X \mid \mathsf{rk}\mathcal{G}_x > r\}$ , and  $r := \mathsf{rk}\mathcal{E}^0 - \mathsf{rk}\mathcal{E}^{-1} = \mathsf{rk}\mathcal{G}$ .

# Thank you for your attention.